

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/789,136	02/27/2004	Kee Yean Ng	70030844-1	9242
*	7590 01/10/2007 HNOLOGIES, LTD.		EXAM	IINER
P.O. BOX 1920	)	HUSAR, STEPHEN F		TEPHEN F
DENVER, CO	80201-1920		ART UNIT PAPER NUMBER 2875	
				•
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE ,	DELIVERY MODE	
3 MO	NTHS	01/10/2007	PAF	PER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		II			
	Application No.	Applicant(s)			
Office Action Summary	10/789,136	NG ET AL.			
Office Action Summary	Examiner	Art Unit			
The GUALIDIC DATE of this commission is	Stephen F. Husar	2875			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the o	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  16(a). In no event, however, may a reply be tir  17 rill apply and will expire SIX (6) MONTHS from  18 cause the application to become ABANDONE	N.  nely filed  the mailing date of this communication  D (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 16 No.	ovember 2006.				
	action is non-final.				
3) Since this application is in condition for allowar	nce except for formal matters, pro	secution as to the merits i	s		
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.			
Disposition of Claims					
4) Claim(s) 1-5,8-10,12,14 and 16-23 is/are pendi	ing in the application.				
4a) Of the above claim(s) is/are withdraw	vn from consideration.				
5) Claim(s) <u>1-5,8-10,12,14,17-20 and 23</u> is/are all	owed.				
6)⊠ Claim(s) <u>16 and 21</u> is/are rejected.					
7) Claim(s) <u>22</u> is/are objected to.					
8) Claim(s) are subject to restriction and/or	relection requirement.				
Application Papers					
9) The specification is objected to by the Examine	т.				
10) The drawing(s) filed on is/are: a) □ acce	epted or b) objected to by the	Examiner.			
Applicant may not request that any objection to the	drawing(s) be held in abeyance. Se	e 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correcti	• • • • • • • • • • • • • • • • • • • •		(d).		
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:		)-(d) or (f).			
1. Certified copies of the priority documents					
2. Certified copies of the priority documents					
	3. Copies of the certified copies of the priority documents have been received in this National Stage				
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.					
ood the attached detailed office action for a fist	or the dertified copies hat receive	.u.			
Attachment(s)					
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Ll Interview Summary Paper No(s)/Mail D				
3) Information Disclosure Statement(s) (PTO/SB/08)	5) 🔲 Notice of Informal F				
Paper No(s)/Mail Date	6)				

Application/Control Number: 10/789,136 Page 2

Art Unit: 2875

#### **DETAILED ACTION**

### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/16/06 has been entered.

# Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- 3. Claims 16 and 21 are rejected under 35 U.S.C. 102(a) as being anticipated by McNulty et al. (6686676). Re claim 21, McNulty et al. shows in Fig.1; a LED (20), a light reflecting surface (24) which may be a cup shaped cavity (see col.4, lines 61-63), a first encapsulant (30) that resides

Art Unit: 2875

within the cavity (24) and at least partially encapsulates the LED (20) and has a first light reflecting substance (28) and a second encapsulant (32) which resides above the first encapsulant (30) and contains no light reflecting substance. Re claim 16, the recitation in claim 16 that the second encapsulant comprises a second percentage of a second light reflecting substance and that second percentage is zero reads on McNulty et als. second encapsulant, which has a zero percentage of a second light reflecting substance.

## Allowable Subject Matter

- 4. Claims 1-5,8-10,12,14,17-20, and 23 are allowed.
- 5. Claim 22 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen F. Husar whose telephone number is 571-272-2371. The examiner can normally be reached on Mon-Fri from 6:30-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea can be reached on 571-272-2378.

Art Unit: 2875

The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Stephen F. Husar Primary Examiner Art Unit 2875

SFH